193. Rottlerin. Part VI. A Spectrographic Study of Rottlerin and its Derivatives.

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The absorption spectra of rottlerin and its derivatives are largely determined by those of methylphloracetophenone. If the $CH_3 \cdot CO$ group is replaced by $C_6H_5 \cdot CH \cdot CO$, a new factor enters into the spectra, and a less important effect occurs when chromen structures occur. There is qualitatively a predominant additive effect in the spectra, but constitutive effects are more significant in determining intensities of absorption. A large number of relatively simple substances have been examined, and the data used as a basis for an extended correlation between structure and absorption. The methyl ethers give rise to interesting anomalies which are shown to have rather wide implications.

THE investigation of rottlerin and its derivatives (Robertson and co-workers, J., 1937, 748; 1938, 309; 1939, 1257, 1579, 1587; Brockmann and Maier, *Annalen*, 1938, **535**, 149;



1939, 541, 53) has now reached a stage when it is profitable to discuss absorption spectra (obtained on compounds prepared by the Liverpool workers) in relation to the structural formulæ which have been established. A large number of substances have been studied, mainly in 96% ethyl alcohol, and the spectra usually exhibit broad, unresolved absorption bands in the ultra-violet. The work is concerned with rather large molecules containing a number of chromophoric groups, and the problem as a whole affords an excellent opportunity for illustrating the mingling of additive and constitutive effects in the spectra of complicated compounds.

The principal compounds are shown in (I)—(XIII), and the spectra are given in Table I. The latter can best be understood if methylphloracetophenone (XIV) is taken

Compound.	$\lambda_{max.}, m\mu.$	€max	$\lambda_{\min}, m\mu$.	$\epsilon_{\min,.}$	Fig.
Rottlerin (I)	350 294 231·5	27,100 34,800 33,000	327 257	24,250 16,100	ĩ
isoRottlerin (II)	$\sim 366 \\ \sim 302 \\ 273$	5,600 22,450 41,300	244.5	14,200	2
Tetrahydrorottlerin (III)	$\sim 345 \\ 295 \\ 232$	6,600 29,000 26,900	$\begin{array}{c} 253\\ 224 {\boldsymbol \cdot} 5\end{array}$	3,250 24,900	3
Dihydro <i>iso</i> rottlerin (IV)	$\sim 345 \\ 293 \\ 225$	5,800 30,600 30,300	$\begin{array}{c} 253\\ 221 \cdot 5\end{array}$	2,590 28,750	4
Tetrahydro <i>allo</i> rottlerin (V)	345 289·5 229	5,500 32,250 27,300	$252 \cdot 5$ $221 \cdot 5$	4,420 23,140	5
Rottlerone (VI)	358 290	35,000 23,200	$\begin{array}{c} 307 \\ 265 \end{array}$	2,190 12,500	1
Octahydrorottlerone (VII)	\sim 345 297 \cdot 5 232	7,470 34,300 28,500	255	5,260	6
Octahydro <i>allo</i> rottlerone (VIII)	$\sim 345 \\ 291.5 \\ 233.5$	5,130 35,900 31,100	$\begin{array}{c} 252\\ 225\end{array}$	3,790 25,200	7
(IX)	$\sim 345 \\ 291 \\ 227$	5,860 25,750 21,800	252	5,860	8
(X)	$\sim^{345}_{293\cdot 5}$	3,400 22,500	250	1,590	9
5:7-Dihydroxy-2:2'-dimethylchroman (XI)	272	624	252	220	10

TABLE I.

Summary of Spectrographic data.

as a starting point, and as a preliminary it is necessary to account for the spectrum of this substance.

HO OH Me	(XIV.)	$\lambda_{max.}, m\mu.$ ~333 291 223	 <i>ϵ</i>_{max.} 2,510 17,800 12,600
UH			

cycloHexane is transparent in the ultra-violet and cyclohexene has maxima at 220 and 190 mµ, whereas cyclohexadiene shows two regions of intense selective absorption, ca. 260 mµ and ca. 190 mµ (Allsopp, Proc. Roy. Soc., 1934, A, 143, 618). Benzene, with three conjugated double bonds, shows resolved absorption 230—270 mµ of low intensity, $\varepsilon =$ 200—300, and it is important that the absorption, although qualitatively similar to that of cyclohexadiene, is very much weaker (one-twentieth). It is also quite distinct from that shown by compounds containing the triene group A·CH:CH·CH:CH:CH:CHB (cf. elæostearic acid). The spectra of phenol and anisole exhibit a small displacement of $\lambda_{max.}$, and a roughly 10-fold increase in $\varepsilon_{max.}$, as compared with benzene (the spectra show resolution into narrower bands):

		$\lambda_{max.}, m\mu.$	€max.
Phenol (in hexane)	••••	271	2200
(in alcohol)	••••	$272 \cdot 8$	2050
Anisole (in hexane)		272	2510
(in alcohol)	•••••	271	2240

Relatively high ε values are maintained in the dihydroxybenzenes, but phloroglucinol and its trimethyl ether exhibit a striking reversion to low ε values :

	$\lambda_{max.}, m\mu.$	€max	
Pyrocatechol in alcohol	277.8	2600	
Resorcinol in alcohol	$276 \cdot 1$	2000	
Quinol in alcohol	294.2	3100	
Phloroglucinol in alcohol	266.5	380)	Morton and Rogers, I.,
O-Trimethoxyphloroglucinol in alcohol	264.6	465 🕻	1925, 127, 2700.
1:2:4-Trihydroxybenzene in alcohol	292	3160)	,,
1:2:4-Triacetoxybenzene in alcohol	266.5	708	Goslawski and March-
1:2:3-Trihydroxybenzene in alcohol	266	800 [lewski, Bull. Acad.
1:2:3-Triacetoxybenzene in alcohol	259	240 (Polonaise, A, 1931,
1:3:5-Trihydroxybenzene in alcohol	268.5	356	383.
1:3:5-Triacetoxybenzene in alcohol	261	290 }	

1:2:4-Trihydroxybenzene shows a spectrum practically indistinguishable from that of quinol, whereas that of pyrogallol approaches the low ε values shown by phloroglucinol.

In all these compounds the electronic change concerned in the act of absorption is made possible by the presence of a double bond in the benzene ring, and it is clear that, just as the third double bond in benzene reduces the probability of the electronic transition occurring in both *cyclo*hexadiene and benzene, so also the distortion set up by mono- and di-substitution (OH or OMe) partly restores it. Trisubstitution may, but need not necessarily, reverse the effect. Although symmetry considerations obviously have a bearing on the matter, it is not satisfactory to attribute the decreased absorption merely to 1:3:5-substitution, since the neutralisation of the effects of substituents is equally clear in pyrogallol triacetate.

The methylphloracetophenone spectrum may now be approached from the standpoint of the acetyl substituent :

Acetophenone	λ _{max.} , mμ. 320 278	€max.• 45 1,000	<i>o</i> -H	ydroxya	acetophenone	λ _{max., mμ. 327 251·5}	€ _{max} . 3,550 9,330
	243 199	12,600 20,000	₽-	**	,,	330 277 221	60 13,500 10,950

The origin of selective absorption in the hydroxyacetophenones will be discussed elsewhere by Morton and Stubbs. The 327 m μ and 251.5 m μ maxima of the *o*-isomeride and the 277 m μ maximum of the *p*-derivative are all benzenoid in origin, whereas the low-intensity bands at 320 and 330 m μ in the above table are due to the carbonyl group. In resacetophenone the superposition of effects due to *o*- and *p*-hydroxyls is manifest (Fig. 14) :

λmax.	mμ	 315	278	236	231
emax.		 7,890	13,780	7,980	8,460

In methylphloracetophenone the inflexion at 333 m μ corresponds with the 327 m μ band of *o*-hydroxyacetophenone, and the 291 m μ maximum with the 277 m μ band of *p*-hydroxyacetophenone. It is less easy to locate the site in the molecule corresponding with the 223 m μ maximum, but it is provisionally regarded as the carbonyl group.

The aldehydes (XV) and (XVI) exhibit spectra very similar to that of methylphloracetophenone, and when comparison is made with o- and p-hydroxybenzaldehyde (Table II) it is clear that the greatly modified benzenoid electronic transitions of (XIV), (XV), and (XVI) HO

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are essentially predetermined, since their absorption curves are little different from the summation of those of the two simple hydroxyaldehydes.

		TAE	BLE II.		
HO OEt CHO (XV.)	λ _{max.} , mμ. 345 295 230	ε _{max.} . 3,550 21,950 7,850	HO OH OH	λ _{max.} , mμ. 332 297 229	<pre></pre>
o-Hydroxybenzalde- hyde	325 255	3,020 10,000	p-Hydroxybenzalde- hyde	332 284 221	180 17,400 13,800
(XII) (XIII) (XI)	270·5 274 272	625 800 62 4	$\begin{array}{c} HO \\ C \\ C \\ C \\ CH_2 \\ OH \\ O \\ (XVII.) \end{array}$	\sim 345 292 \cdot 5	2,400 18,000

* Very feeble inflexion.

The absence of a substituent containing a carbonyl group (XII and XIII) effects a reversion to the simple low-intensity benzenoid absorption of phloroglucinol, and the chroman (XI) is chromophorically identical with (XII), whereas chromanone

(XVII) is to be regarded as (XVIII) from the point of view of absorption, OR COR and shows a curve almost identical with that of methylphloracetophenone.

One of the best attested phenomena in absorption spectra is the insulating effect of CH_2 or $[CH_2]_n$, for a molecule $A \cdot CH_2 \cdot B$, where A and B (XVIII.)

are chromophoric groups, shows normally a spectrum obtained by the simple summation of the absorption due to A and B. This insulating effect can be tested in many ways from the data for rottlerin derivatives.

(a) The diphenylmethane derivative (IX) shows qualitatively the same absorption as methylphloracetophenone, but the • values do not reach twice those of this substance:

	λ_{max} .	$\epsilon_{\max} \times 2.$		$\lambda_{max.}, m\mu.$	€max
	(~333	5,020		(~345	5,860
(XIV)	{ 291	35,600	(IX)	$\begin{cases} 291 \\ 202 \\ 20$	25,760
	223	25,200		227	21,800

The test is not quite a strict one, since (IX) ought perhaps to be compared with 3:5dimethylphoracetophenone.

(b) The phenyl group in (X) is insulated by two CH_2 groups, and it would therefore be expected to exert little effect on the spectrum of methylphloracetophenone, and octahydrorottlerone (VII) should have twice the intensity of absorption :

5:7-Dihydroxy-8-acetyl-2- <i>gem</i> dimethylchroman	λ _{max.} , mμ. ~350 293·5 227	^e max. 3,250 19,700 12,650
5 : 7-Dihydroxy-8- β -phenylpropionyl-2-gemdimethylchroman (X)	~ 345 $293 \cdot 5$	3,400 22,490
Octahydrorottlerone (VII)	~345 297.5 232	7,470 34,300 28,500

(c) Tetrahydrorottlerin (III) is chromophorically equivalent to 3: 5-dimethylphloracetophenone (XIX) plus (XX). The spectrum does in fact show the expected absorption



maxima, but, as in (b), the maximum ca. 295 m μ fails to reach the expected two-fold rise in ε value (see Table I), viz., 29,000 as compared with ca. 35,600 expected. From another point of view the spectrum of (III) may be expected to be given by the mean of those of octahydrorottlerone (VIII) and the diphenylmethane derivative (IX):

$\lambda_{max.}, m\mu$, obs.	€m₄x., obs.	ϵ_{\max} , calc.
~ 345	6,600	6,665
295	29,000	30,035
232	26,900	25,150

and the spectrum of tetrahydroallorottlerin (V) is, as would be expected, very similar.

The element of additivity in the spectra is obvious, but extinction coefficients cannot be predicted accurately in every case. The significant variable is the degree of substitution in the benzene ring, and a definite effect shown by methyl groups on ε values is already known. The data in Table III (cf. Conrad-Billroth, Z. physikal. Chem., 1935, B, 29, 170; Wolf and Strasser, ibid., 1933, B, 21, 389) make this clear for the benzenoid chromophore :

TABLE III.

Methylbenzenes.

Methyl substituents	(None.)	1.	1:2.	1:3.	1:4.	1:2:3.	1:2:4.	1:2:5.
$\lambda_{max.}, m\mu$	254	260	260	265	265	260	275	265
Emax	230	300	330	300	440	360	830	300
Methyl substituents	1:2	:3:5.	1 :	: 2 : 4 : 5.	1:	2:3:5:6.	1:2:3	3:4:5:6.
$\lambda_{\text{max}}, m\mu$:	265		275		270		270
Emax	4	120		820		370		330

In 2:4:6-trimethoxy-m-tolylpropionic acid (XXI) the carboxyl group is effectively insulated, but the absorption spectrum (Fig. 18) (λ_{max} . 280 mµ, Me

 ε_{max} 2290) is about 5 times as intense as that shown by phloroglucinol trimethyl ether, viz., $\lambda_{max.}$ 264 mµ, $\varepsilon_{max.}$ 465.

The departures from quantitative additivity thus appear to indicate that the probability of a given electronic transition is influenced considerably by chromophorically inert substituents like methyl groups.

The spectra of rottlerin and rottlerone differ from those already discussed in that a very intense band ε_{max} . 27,000–35,000 at 350–360 m μ replaces the rather weak band ε_{max} . 3,000-7,500 at about $345 \text{ m}\mu$. Both compounds contain additional unsaturation, as they possess the chromen structure and the cinnamoyl group in which there is no CH_2 group to insulate the phenyl and carbonyl groups. The two types of additional unsaturation may be taken separately:

		Amax.	€max
(a)	5: 7-Dihydroxy-8-cinnamoyl-2-gemdimethylchroman	347	23,970
	$(XI; R = CHPh:CH \cdot CO \cdot)$	330	19,400

This shows greatly enhanced absorption at $350 \text{ m}\mu$ and there is obviously a second band of high intensity not far away, near 300 mµ, but the superposition of the effects of different chromophores makes it risky to be dogmatic about the interpretation of absorption in the region 270—325 mµ.

(b) The effect of the chromen linkage is best approached indirectly by comparing the



spectra of *iso*rottlerin and dihydro*iso*rottlerin (II and IV; Figs. 2 and 4). The additional unsaturation gives rise to a new and intense absorption band with λ_{max} . ca. 273 m μ , but the absorption in the region 325-400 mµ is displaced somewhat in the direction of longer wave-lengths without significant change in intensity.

The spectrum of (XXII) (not examined or prepared) may

be deduced by subtracting an absorption curve obtained by halving all the ε values for (IX) from the curve for *iso*rottlerin:



The curve characteristic of methylphloracetophenone has thus been changed from 345 to $370 \text{ m}\mu$, with little change in ε values, and new bands occur at 305 and $270 \text{ m}\mu$. It is thus clear that the cinnamoyl grouping of rottlerin is more important in determining its

absorption spectrum than the chromen linkage. The styryl ketones are themselves very strongly absorbing :



It is interesting that the spectrum of rottlerone (Fig. 1) is similar to that of (XI; R = CHPh:CH:CO) but is not quantitatively given by doubling intensities of absorption.

The absorption curve of rottlerin should, on the basis of insulation of two ring systems by the linking CH_2 , be intermediate between those of rottlerone and methylphloracetophenone. The absorption at 350 m μ is, in fact, much less than that of rottlerone and qualitatively the summation effect holds good, but quantitatively it breaks down, again presumably because the CH_2 is a substituent in both ring systems as well as a link between them.











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Robertson and his co-workers (see Parts III, IV, and V) attribute the structure (XXIII) to the allo-compounds, whereas Brockmann and Maier (loc. cit.) make use of the formulation (XXIV). Absorption spectra data bearing upon this point of difference are inconclusive and the decision must rest on other evidence (J., 1939, 1587).

Spectra of Ethers derived from Rottlerin and its Derivatives.—It is generally accepted that the replacement of hydrogen in a hydroxyl group by methyl does not directly involve any material change in absorption spectrum; for instance,

	λ _{max.} , mμ.	€max
Phenol in alcohol	273	2,050
Anisole	271	2,240
Resorcinol	276	2,000
Resorcinol dimethyl ether	274	2,190
Phloroglucinol	266.5	380
Phloroglucinol trimethyl ether	264.5	465

Acetylation, on the other hand, may effect a great decrease in intensity of absorption; e.g., phenyl acetate shows ε values of the order of one-tenth of those of phenol, and methylphloracetophenone triacetate is vastly more transparent than the parent substance (XIV):

> 238 5,800 (persistence poor)

In the rottlerin series the effects are much more complicated, and the facts have rather wide implications in the general problem of correlating structure with absorption. They are therefore worth recording in some detail, even if the phenomena are capable of only partial explanation. The chroman (XI) is chromophorically equivalent to a monomethyl phloroglucinol :

	$\lambda_{max.}, m\mu.$	€max		λ _{max.} , mμ.	€max
Phloroglucinol	266.5	380	(XII)	270.5	625
(XI)	272	625	(XIII)	274	800

and compound (X) is chromophorically equivalent to (XXV), and, as COAlk compared with methylphloracetophenone, it shows an increased ε value OAlk (17,800 to 22,500). In these cases mono-etherification brings about a Me definite increase in intensity of absorption.

Introduction of a second methyl group, however, lowers the ε values, substitution of H in a hydroxyl ortho- to the carbonyl being very effective : (XXV.)



$O_{n} = c$		λ max. , mμ.	€max.•
° ∖ÇMe ₂	$(R_1 = CH_3, R_2 = H \dots)$	291	18,700
<u>, с́н.</u>	$\{R_1 = H, R_2 = CH_3 \dots$	284	5,570
CH ₂	$\left(\mathbf{R}_{1}^{T}=\mathbf{C}\mathbf{H}_{3}, \mathbf{R}_{2}=\mathbf{C}\mathbf{H}_{3}\right) \dots \dots$	277	5,440

The hydroxychromanones are, however, less sensitive to methylation :

	R ₁ .	R ₂ .	$\lambda_{max.}$ m μ .	€max
$\mathbf{K}_{1} \mathbf{U}_{1} + \mathbf{V}_{2} \mathbf{W} \mathbf{e}_{2}$	(H	н	$292 \cdot 5$	18,000
く人 /CH。	Јн	CH3	290.5	18,060
	ך CH₃	н	$285 \cdot 5$	16,650
$OR_2 CO$	(CH ₃	CH3	283.5	17,100

The derivatives of cinnamic acid are also interesting in this connection :

Cinnamic acid.	$\lambda_{max.}, m\mu.$	€max	Cinnamic acid.	λ _{max.} , mμ.	€mar.
Unsubstituted	269	18,200)	<i>p</i> -Hydroxy	299	15,500
o-Hydroxy	∫ 327	10,230 }*	<i>p</i> -Methoxy	291	15,150
	272.5	14,800J	2:4:6-Trimethoxy-	6 309	7,550
o-Methoxy-	∫ 319∙5	3,700	3-methyl-	$\{\sim 295$	7,250
	1274	6,300		238	8,820
* Ley and Dreinhofer, Z. wiss. Phot., 1930, 29, 134.					

The striking decreases in ε_{max} , which occur as a result of methylation are made all the more difficult to explain by the absence of such effects in the simple chromanones. It is also significant that simple compounds like o- and p-hydroxyacetophenone maintain their characteristic absorption (ε values) on methylation.

An interesting point arises in considering the spectra of fully methylated compounds in the rottlerin series. In most cases (Figs. 19, 21, 23) selective absorption disappears and only moderately intense continuous end absorption remains. Ethers with chromen or cinnamoyl groups, however, show a "residual" selective absorption, but at 290 mµ rather than 350 mµ. The probable explanation is that the 350 mµ band has been displaced in the direction of shorter wave-lengths as a result of methylation and by a coincidence is liable to be confused with the 290—295 mµ band present in the hydroxy-compounds.

The conjugation of the styryl group through carbonyl with phloracetophenone residues normally enhances the 350 mµ absorption; methylation may damp down (reduced ε values) the absorption due intrinsically to phloracetophone, and at the same time cause the 350 mµ absorption to be displaced in the direction of lower wave-lengths:

	$\lambda_{max.}, m\mu.$	€max
Rottlerin	294	34,800
Rottlerin pentamethyl ether	(general absorption	of low intensity)
Rottlerin dimethyl ether *	293	38,100
Tetrahydrorottlerin	295	29,000
Tetrahydrorottlerin pentamethyl ether	291	18,300
alloRottlerin pentamethyl ether	294	29,300
Rottlerone	290	23,200
Rottlerone tetramethyl ether *	291	45,000
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Position of methyl groups uncertain.

These considerations are sufficient to disclose the existence of a very difficult problem, the core of which is a profound influence exerted by substituents (which are themselves transparent) primarily on the probability of a given electronic transition, and in more extreme cases on its very possibility.

The authors wish to express their gratitude to Professor A. Robertson, Dr. A. McGookin, and their colleagues, and also to the Egyptian Educational Mission for allowing one of them (Z. S.) to take part in this work.

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[Received, May 24th, 1940.]